

ATTACHMENT A

 (Original) A process for preparing racemic metallocene biphenoxide complexes

by reacting transition metal complexes of the formula (I)

$$R^3$$
 R^4
 R^5
 R^6
 R^7
 R^7
 R^8

(I),

where the substituents and indices have the following meanings:

M is titanium, zirconium, hafnium, vanadium, niobium, tantalum, chromium, molybdenum, tungsten or an element of transition group III of the Periodic Table and the lanthanides,

X are identical or different and are each fluorine, chlorine, bromine, iodine, hydrogen, C_1-C_{10} -alkyl, C_6-C_{15} -aryl, alkylaryl having from 1 to 10 carbon atoms in the alkyl part and from 6 to 20 carbon atoms in the aryl part, $-OR^9$ or $-NR^9_2$, where R^9 are identical or different and are each C_1-C_{10} -alkyl, C_6-C_{15} -aryl, C_3-C_{10} -cycloalkyl, alkylaryl,

n is an integer from 1 to 4 and corresponds to the valence of M minus 2,

 R^1 , R^2 , R^4 , R^5 , R^7 , R^8 are identical or different and are each hydrogen, fluorine, chlorine, bromine, iodine, C_1 - C_{20} -alkyl, 3- to 8-membered cycloalkyl which may in turn bear a C_1 - C_{10} -alkyl group as substituent, C_6 - C_{15} -aryl, alkylaryl having from 1 to 10 carbon atoms in the alkyl part and from 6 to 20 carbon atoms in the aryl part, arylalkyl having from 1 to 10 carbon atoms in the alkyl part and from 6 to 20 carbon atoms in the aryl part, $-OR^{10}$, $-SR^{10}$, $-N(R^{10})_2$, $-P(R^{10})_2$ or $Si(R^{10})_3$, where R^{10} are identical or different and are each C_1 - C_{10} -alkyl, C_6 - C_{15} -aryl, C_3 - C_{10} -cycloalkyl, alkylaryl, where the radicals mentioned may be partially or fully substituted by heteroatoms,

 R^3 , R^6 are identical or different and are each hydrogen, $-OR^{11}$, $-SR^{11}$, $-N\left(R^{11}\right)_2$, $-P\left(R^{11}\right)_2$ or $Si\left(R^{11}\right)_3$, where R^{11} are identical or different and are each C_1-C_{10} -alkyl, C_3-C_{10} -cycloalkyl,

Y are identical or different and are each

=
$$BR^{12}$$
, = AlR^{12} , -Ge-, -Sn-, -O-, -S-, = SO,
= SO_2 , = NR^{12} , = CO, = PR^{12} or = $P(O)R^{12}$,

where

 R^{12} are identical or different and are each hydrogen, halogen, $C_1\text{-}C_{10}\text{-}alkyl\,,\ C_1\text{-}C_{10}\text{-}$ fluoroalkyl, $C_6\text{-}C_{10}\text{-}fluoroaryl\,,\ C_6\text{-}C_{10}\text{-}aryl\,,\ C_1\text{-}}$ $C_{10}\text{-}alkoxy\,,\ C_2\text{-}C_{10}\text{-}alkenyl\,,\ C_7\text{-}C_{40}\text{-}arylalkyl\,,\ }C_8\text{-}$ $C_{40}\text{-}arylalkenyl\,,\ C_7\text{-}C_{40}\text{-}alkylaryl\,,\ }$ or two radicals R^{12} together with the atoms connecting them form a ring,

M¹ is silicon, germanium or tin, with cyclopentadienyl derivatives of alkali metals or alkaline earth metals and heating the reaction mixture obtained in this way to a temperature in the range from -78 to 250°C.

- 2. (Previously presented) A process as claimed in claim 1 comprising the following successive steps:
 - a) deprotonation of compounds of the formulae (IVa) and (IVb)

by means of a suitable deprotonating agent, where R^{13} , R^{14} , R^{15} , R^{17} are identical or different and are each hydrogen, $C_1\text{-}C_{20}\text{-}alkyl$, 5- to 7-membered cycloalkyl which may in turn bear a $C_1\text{-}C_{10}\text{-}alkyl$

group as substituent, C_6 - C_{15} -aryl or arylalkyl, where adjacent radicals may together form cyclic groups having from 4 to 15 carbon atoms, or $Si(R^{18})_3$, where

 R^{18} are identical or different and are each C_1 - C_{10} alkyl, C_6 - C_{15} -aryl or C_3 - C_{10} -cycloalkyl, and

 R^{19} , R^{20} , R^{21} , R^{23} are identical or different and are each hydrogen, C_1 - C_{20} -alkyl, 5- to 7-membered cycloalkyl which may in turn bear a C_1 - C_{10} -alkyl group as substituent, C_6 - C_{15} -aryl or arylalkyl, where adjacent radicals may together form cyclic groups having from 4 to 15 carbon atoms, or $Si(R^{24})_3$, where

 R^{24} are identical or different and are each C_1 - C_{10} alkyl, C_6 - C_{15} -aryl or C_3 - C_{10} -cycloalkyl,

b) reaction of the deprotonated compounds (IVa) and (IVb) with a compound $[T(R^{25})(R^{26})]_mHal_2$, where Hal is a halogen substituent such as F, Cl, Br or I, and subsequent repeat deprotonation by means of a suitable deprotonating agent to form a compound of the formula (IIIa)

(III a)

where

M² is an alkali metal ion or alkaline earth metal ion,

where

p is 1 when M^2 is an alkaline earth metal ion and is 2 when M^2 is an alkali metal ion, and

T can be identical or different and are each silicon, germanium, tin or carbon,

 R^{25} , R^{26} are identical or different and are each hydrogen, $C_1\text{-}C_{10}\text{-}alkyl$, $C_3\text{-}C_{10}\text{-}cycloalkyl$ or $C_6\text{-}C_{15}\text{-}aryl$, and

m is 1, 2, 3 or 4;

c) reaction of the compound of the formula (IIIa) with a transition metal complex of the formula (I)

$$R^3$$
 R^4
 R^5
 R^6
 R^7
 R^7
 R^8

(I).

where the substituents and indices are as defined in claim 1.

3. (Previously preented) A process as claimed in claim 2, wherein the deprotonating agent is n-butyllithium, tert-butyllithium, sodium hydride, potassium tert-butoxide, Grignard reagents of magnesium, magnesium compounds, alkaline earth metal alkyl components or alkali metal alkyl compounds.

- 4. (Previously presented) A process as claimed in claim 2 which is carried out without isolation of intermediates after individual process steps.
- 5. (Previously presented) A process as claimed in claim 2, wherein in the compounds of the formula (IIIa), M^2 is magnesium and R^{17} and R^{23} are each hydrogen, C_1 - C_{10} -alkyl, C_6 - C_{10} -aryl, trialkylsilyl, and $T(R^{25}R^{26})$ is bis- C_1 - C_{10} -alkylsilyl or bis- C_6 - C_{10} -arylsilyl, 1,2-ethanediyl or methylene; and the radicals R^{13} to R^{15} and R^{19} to R^{21} form an indenyl-type ring system or a benzindenyl-type ring system.
- 6. (Previously presented) A process as claimed in claim 1, wherein the reaction of the cyclopentadienyl derivatives with compounds of the formula (I) is carried out with addition of free radicals or free radical formers to the reaction mixture.
- 7. (Previously presented) A process as claimed in claim 1, wherein \mathbb{R}^1 and \mathbb{R}^8 in the formula (I) are bulky substituents.
- 8. (Previously presented) A process as claimed in claim 1, wherein \mathbb{R}^3 and \mathbb{R}^6 in the formula (I) are each methoxy, ethoxy, isopropyloxy, tert-butyloxy, cyclopropyloxy or cyclohexyloxy.
- 9. (Previously presented) A process as claimed in claim 1, wherein the bridging units Y in the formula (I) are identical and are each oxygen.

- 10. (Previously presented) A process as claimed in claim 1, wherein cyclopentadienyl derivatives of magnesium or lithium are used.
- 11. (Original) A racemic metallocene biphenoxide complex of the formula (II)

where Y, M and R¹ to R⁸ are as defined in claim 1, and R¹³ to R¹⁷ are identical or different and are each hydrogen, C_1 - C_{20} -alkyl, 5-to 7-membered cycloalkyl which may in turn bear a C_1 - C_{10} -alkyl group as substituent, C_6 - C_{15} -aryl or arylalkyl, where adjacent radicals may together form cyclic groups having from 4 to 15 carbon atoms, or Si(R¹⁸)₃, where

 R^{18} are identical or different and are each $C_1\text{-}C_{10}\text{-}$ alkyl, $C_6\text{-}C_{15}\text{-}$ aryl or $C_3\text{-}C_{10}\text{-}$ cycloalkyl, and

Z is

where the radicals

 R^{19} to R^{23} are identical or different and are each hydrogen, $C_1\text{-}C_{20}\text{-}alkyl$, 5- to 7-membered cycloalkyl which may in turn bear a $C_1\text{-}C_{10}\text{-}alkyl$ group as substituent, $C_6\text{-}C_{15}\text{-}aryl$ or arylalkyl, where adjacent radicals may together form cyclic groups having from 4 to 15 carbon atoms, or $Si(R^{24})_3$ where

 R^{24} are identical or different and are each C_1 - C_{10} -alkyl, C_6 - C_{15} -aryl or C_3 - C_{10} -cycloalkyl,

or the radicals

 R^{16} and Z together form a -[T(R^{25})(R^{26})]_m-E- group, where

T may be identical or different and are each silicon, germanium, tin or carbon,

 $R^{25},\ R^{26}$ are each hydrogen, $C_1\text{-}C_{10}\text{-}alkyl,\ C_3\text{-}C_{10}\text{-}cycloalkyl}$ or $C_6\text{-}C_{15}\text{-}aryl$

m is 1, 2, 3 or 4, and

E is

or A, where

A is

where R^{27} are identical or different and are each C_1 - C_{10} -alkyl, C_6 - C_{15} -aryl, C_3 - C_{10} -cycloalkyl, alkylaryl or $Si\left(R^{28}\right)_3$

where R^{28} are identical or different and are each C_1 - C_{10} -alkyl, C_6 - C_{15} -aryl, C_3 - C_{10} -cycloalkyl or alkylaryl.

12. (Original) A racemic metallocene biphenoxide complex as claimed in claim 11 in which R^{17} and R^{23} are not hydrogen when R^{16} and Z together form a $-[T(R^{25})(R^{26})]_m$ -E- group.

13. (Cancelled)

- 14. (Previously presented) A catalyst which comprises the racemic metallocene as claimed in claim 11.
- 15. (Previously presented) A catalyst which comprises the racemic metallocene as claimed in claim 12.
- 16. (Currently amended) A process as claimed in claim 5 wherein R^{17} and R^{23} are methyl, ethyl, n-propyl, <u>i-propyl</u>, n-butyl, sec-butyl, tert-butyl, i-butyl, hexyl, phenyl, or trimethylsilyl and $T(R^{25}R^{26})$ is dimethylsilyl, diphenylsilyl, 1,2-ethandiyl or methylene.

- 17. (Previously presented) A process as claimed in claim 16 wherein the reaction of the cyclopentadienyl derivatives with compounds of the formula (I) is carried out with addition of free radicals or free radical formers to the reaction mixture, and wherein R¹ and R⁸ in the formula (I) are bulky substituents, R³ and R⁶ in the formula (I) are each methoxy, ethoxy, isopropyloxy, tert-butyloxy, cyclopropyloxy or cyclohexyloxy, the bridging units Y in the formula (I) are identical and are each oxygen, and cyclopentadienyl derivatives of magnesium or lithium are used.
- 18. (New) The process as claimed in claim 3, wherein the magnesium compounds are di-n-butylmagnesium, (n,s)-dibutylmagnesium, and mixtures thereof.
- 19. (New) The process as claimed in claim 5, wherein the C_6 C_{10} -aryl is phenyl.
- 20. (New) The process as claimed in claim 5, wherein the trialkylsilyl is trimethylsilyl.